Concluding Remarks:
Next steps and tracking progress

Ms. Lynn Costa
Project Overseer
&
Senior Trade Development Advisor
U.S. Department of Commerce
What we have achieved in 18 months: From Gifu (Oct 2010) to Brunei (April 2012)

- **September 2010**
  - **Gifu, Japan**
  - APEC medical device workshop
  - Call by SME Minister for APEC codes of business ethics beginning with the medical device sector

- **November 2011**
  - **Honolulu, USA**
  - APEC Ministers endorse The KL, Mexico City, and Hanoi Principles

- **September 27-28, 2011**
  - **Mexico City, Mexico**
  - Expert Working Group (EWG) formulates The Mexico City Principles for the Biopharmaceutical Sector

- **April 2012**
  - **Brunei**
  - APEC capacity building workshop to assist industry associations to draft voluntary code aligned with The KL Principles

- **September 27-28, 2011**
  - **Mexico City, Mexico**
  - Expert Working Group (EWG) formulates The Mexico City Principles for the Biopharmaceutical Sector

- **May 21 2011**
  - **Big Sky, Montana, USA**
  - APEC SME Ministers endorse The KL Principles for the Medical Device Sector

- **October 5-7, 2011**
  - **Hanoi, Vietnam**
  - Expert Working Group (EWG) formulates The Hanoi Principles for the Construction and Engineering Sector

- **November 2011, Yokohama, Japan**
  - APEC Ministers welcomed development of codes to better align industry practices across APEC economies
What we will achieve in the NEXT 18 months: From Brunei (April 2012) to Indonesia (2013)

**July 2012**
- First quarterly check-in to track progress in drafting/aligning codes

**Oct 2012**
- Second quarterly check-in to track progress in drafting/aligning codes

**Jan 2013**
- Third quarterly check-in to track progress in drafting/aligning codes

**2013**
- Train-the-trainer program on completed codes in Kuala Lumpur, Malaysia
- Awareness-building workshop on completed codes for anti-corruption officials, medical device regulators, and physician groups in Indonesia

**Aug 1 – 2, 2012**
- Report progress to SME Ministers at 19th SME Ministerial Meeting in St Petersburg, Russia

**April 2013 (TBC)**
- Report progress to SME Working Group

**Fall 2013 (TBC)**
- Report progress to SME Ministers at 20th SME Ministerial Meeting in Indonesia
What we will need from YOU

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How we propose conducting quarterly check-ins in preparation for the train-the-trainer

Using a quarterly questionnaire that asks:

Milestone 1: Code aligned with The KL Principles

- Do you have a completed code? Indicate the elements of The KL Principles that your completed code covers.

- If you do not have a completed code, then do you have a draft code? Indicate the elements of The KL Principles that your draft code covers. What is your plan and timeline to develop a completed code?
Milestone 2: Code approved/endorsed by association

- Where is your association in the process of approving/endorsing the code (or revisions to the code)?
  - Not in progress – Why not? What challenges are you facing?
  - In progress – Please describe (i.e., on upcoming agenda)
  - Completed
Milestone 3: Preparedness to implement the code

- How prepared does your association feel to move to implementing your code, for example to training your member companies on compliance programs and best practices?
  - Very prepared
  - Somewhat prepared
  - Not very prepared
  - Not prepared at all

Progress measured against survey results sent in prior to Brunei
Our GOAL is that by January 2013....

Associations from all 21 APEC member economies will:

✓ **Achieve Milestone 1:** Code aligned with The KL Principles

✓ **Achieve Milestone 2:** Code endorsed/approved by association

✓ **Achieve Milestone 3:** Very prepared to move to implementing its code, specifically the 2013 Kuala Lumpur train-the-trainer workshop
Economies that meet all milestones will be recognized at the SME Ministerials

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Public-Private Monitoring Group

The Public/Private Monitoring Group will serve as the Secretariat and advisory body for the quarterly check-in process. The four members would: 1) review and approve the quarterly survey; 2) review survey results; 3) request mentors give extra support to those associations falling behind; and 4) help the Project Overseer (Lynn) report progress to the SME Ministers and Working Group. Members include:

Public:
- Lynn Costa
- Dato’ Sutinah

Private:
- Chris White
- Jessie Yap